

Modeling evolution of transcription factor binding sites

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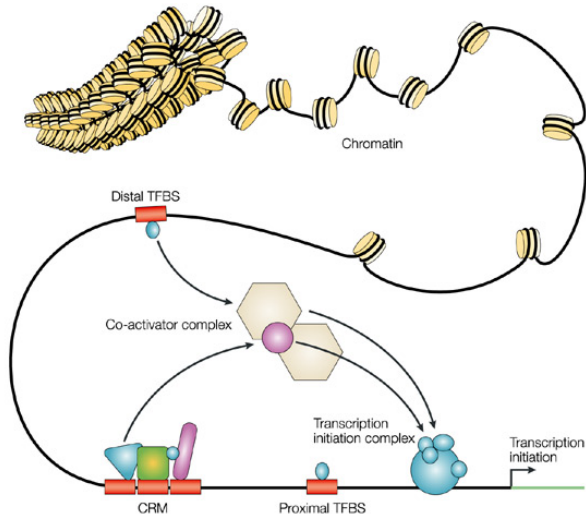
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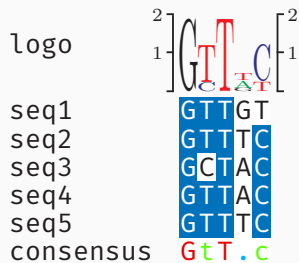
Introduction

TFs bind to specific sets of short sequences



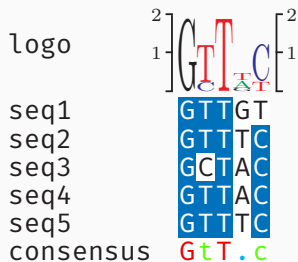
TFBS: Properties

- Short sequences (5-25bp)



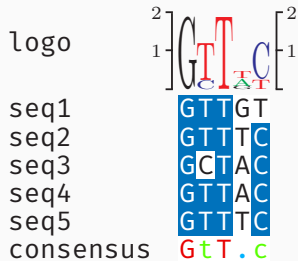
TFBS: Properties

- Short sequences (5-25bp)
- Proximity to TSS
(100-1000bp)



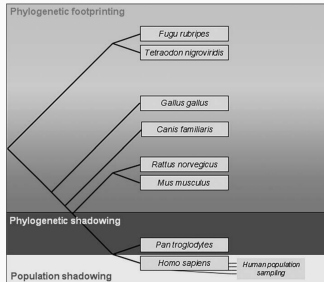
TFBS: Properties

- Short sequences (5-25bp)
- Proximity to TSS
(100-1000bp)
- Degeneracy



Separation of mutability and selection

Phylogenetic footprinting for identifying regulatory elements



- Selective pressure causes slower evolution of regulatory elements
- Phylogenetic footprinting – Identifying highly conserved sequences in evolutionarily diverse species
- Need to explicitly model phylogenetic relationship over simple conservation based approaches

Substitution Models

- Evolution can be modeled as a continuous time markov chain.
Transition Matrix $P(t) = \{P_{\alpha\beta}\}$
- Rate matrix $Q = \begin{pmatrix} * & \mu_{AC} & \mu_{AG} & \mu_{AT} \\ & & \dots & \end{pmatrix}$
- $p_{\alpha}(t + \delta t) = p_{\alpha}(t) + \sum_{\beta \neq \alpha} \mu_{\beta\alpha} p_{\beta}(t) - \sum_{\beta \neq \alpha} \mu_{\alpha\beta} p_{\alpha}(t)$
- $P(t) = \exp(Qt)$
- Simple models
 - Jukes Cantor (JC69): Equal base frequencies and equal mutation rates
 - Kimura (K80): Distinguishes between transition and transversion ratios
 - Felsenstein (F81): Allows different base frequencies
 - HKY: Kimura+Felsenstein

Halpern Bruno Model : Accounting for position specific selection

- Substitution v/s Mutation : Different things
- JC/K80/F81: Do not explicitly differentiate mutation from selection
- HB Model:

$$\underbrace{r_{\alpha\beta}^i}_{\text{Substitution rate}} = \underbrace{\mu_{\alpha\beta}}_{\text{Probability of mutation(inst.)}} \times \underbrace{f_{\alpha\beta}^i}_{\text{Probability of fixation}}$$

- 'Position-specific selection aware' substitution model, originally formulated for amino acids
- All positions in the binding site evolve independently at equal rates
- Covariation structure between different species are ignored

Halpern Bruno Model : Estimating fixation probability

$$r_{\alpha\beta}^i = \mu_{\alpha\beta} \times f_{\alpha\beta}^i$$

- Selection coefficient(s) – Relative reduction in contribution of β over α to fitness

$$F(\alpha) = 1; F(\beta) = 1 + s$$

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- Reversibility condition:

$$\pi_{\alpha} \mu_{\alpha\beta} f_{\alpha\beta} = \pi_{\beta} \mu_{\beta\alpha} f_{\beta\alpha} \implies \frac{\pi_{\beta} \mu_{\beta\alpha}}{\pi_{\alpha} \mu_{\alpha\beta}} = \frac{f_{\alpha\beta}}{f_{\beta\alpha}} = e^{2Ns}$$

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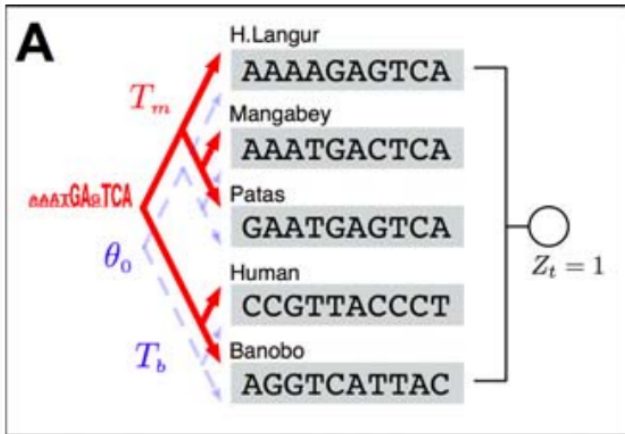
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$$\bullet f_{\alpha\beta} \propto \frac{\ln \frac{\pi_{\beta} \mu_{\beta\alpha}}{\pi_{\alpha} \mu_{\alpha\beta}}}{1 - \frac{\pi_{\alpha} \mu_{\alpha\beta}}{\pi_{\beta} \mu_{\beta\alpha}}} \implies r_{\alpha\beta} = \mu_{\alpha\beta} \frac{\ln \frac{\pi_{\beta} \mu_{\beta\alpha}}{\pi_{\alpha} \mu_{\alpha\beta}}}{1 - \frac{\pi_{\alpha} \mu_{\alpha\beta}}{\pi_{\beta} \mu_{\beta\alpha}}}$$

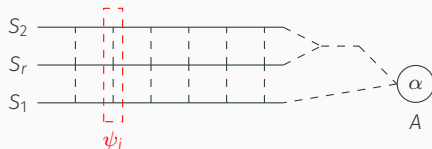
TFBS Prediction: Using HB Model over background



Modeling full phylogeny as one component: **HB** or **JC/F81/HKY**.

$$F(x|\theta) = \frac{\log P(S|\text{HB})}{\log P(S|\text{JC})}$$

HB model: Example with aligned sequences



MSA of Orthologous Sequences

$$\begin{aligned} P(\psi_i) &= \sum_{\alpha} P(\psi_i, A_i = \alpha | \theta) \\ &= \sum_{\alpha} P(A_i = \alpha) P(\psi_i | A_i = \alpha, \theta) \end{aligned}$$

$$= \sum_{\alpha} P(A_i = \alpha) \prod_{s_i} P(s_i | A_i = \alpha, \theta)$$

$$S = \{\psi_1, \psi_2, \dots, \psi_L\};$$

$$\psi_i = \{s_1^i, s_2^i, \dots, s_N^i\}$$

A = Unobserved ancestral sequence

Site Level Selection

Selection acting on whole TFBS as a unit

- Substitution rates are position specific in TFBS but independence assumption does not necessarily hold

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Selection acting on whole TFBS as a unit

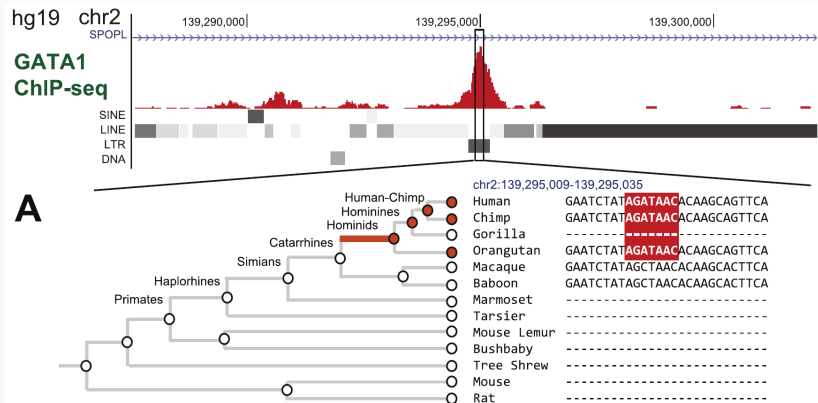
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Selection acting on whole TFBS as a unit

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- The same substitution in a far less optimal site might lead to a functional loss
- A better model would be to account for substitution of entire site i.e. site-level selection treating binding sites as evolutionary units
- How: Reformulate the previous problem for two sites a, b instead of bases

Functional Turnover

TFBS Turnover



Functional turnover: TFBS can be gained or lost during evolution

Functional turnover: Birth & Death Process

Aim: Detect lineage-specific rates of TFBS evolution and the branch of origin of individual TFBS

- Binding sites are known to show turnover: TFBS can be gained/lost during speciation events
- Estimate rate of birth α and death β from orthologous sequences
- Infer ancestral states; branch of origin

Functional turnover: Birth & Death Process

$w(t)$ = Probability that TFBS exists at time t

α, β = Birth, death rate respectively

$$w(t+1) = \alpha(1 - w(t)) + (1 - \beta)w(t)$$

$$w'(t) = \alpha - (\alpha + \beta)w(t)$$

We formulate two type of solutions, $u(t), v(t)$ such that: $u(t)$ represents those class of motifs present at $t = 0$ and $v(t)$ represents class of motifs that did not exist at $t = 0$.

Functional turnover: Birth & Death Process

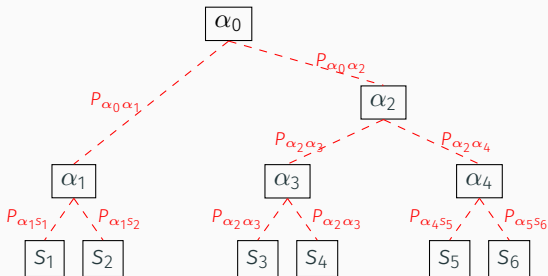
Let $p_{ij}(t)$ represent the probability of observing j motif occurrences after t , initial i

$$u(t) = \frac{1}{\alpha + \beta}(\alpha + \beta e^{-(\alpha + \beta)t})$$
$$v(t) = \frac{\alpha}{\alpha + \beta}(1 - e^{-(\alpha + \beta)t})$$

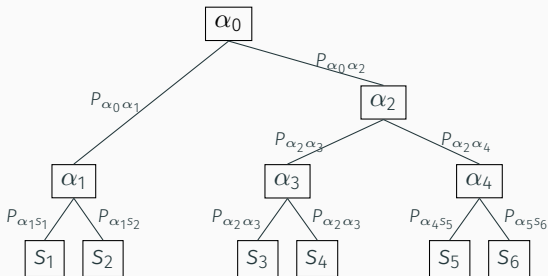
- At each node calculate the likelihood of observing daughter nodes given α, β
- Determine most likely ancestral state using MLE
- Infer branch of origin

(Lineage/Specie) specific models

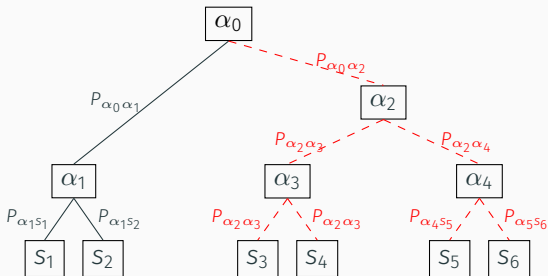
Full phylogeny evolving following motif model



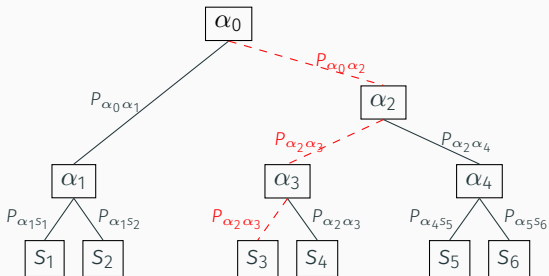
Full phylogeny evolving following background model



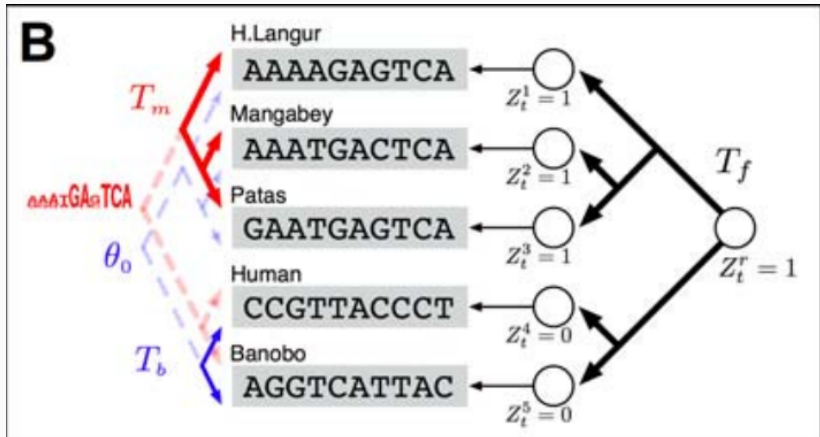
Lineage Specific Evolution



Specie Specific Evolution



Lineage Specific Evolution



Lineage specific model

Lineage Specific Evolution: Model

- Explicitly model functional turnover long T_f as a JC substitution process

$$P_f = \begin{pmatrix} \frac{1}{2} + \frac{1}{2}e^{-2\beta} & \frac{1}{2} - \frac{1}{2}e^{-2\beta} \\ \frac{1}{2} - \frac{1}{2}e^{-2\beta} & \frac{1}{2} + \frac{1}{2}e^{-2\beta} \end{pmatrix}$$

β = branch length

- Conditioning on TFBS functionality to model nucleotide substitution
- Capture function-specific evolution in every lineage

- HB model accounts for selection in TFBS evolution
- HB model can be extended to allow TFBS as a unit of evolution
- Turnovers can be treated in birth-death framework
- More general models can account for turnover and functional dependency across lineages

Questions?

Ornstein-Uhlenbeck Model I

- HB models neglects lineage or specie specific selection
- OU models this gap by accounting for lineage/specie specific selection by requiring regime specific optima to be obtained
- OU models can model evolution by defining a quantitative trait as a score attached to the TFBS: $X(t)$
- Motivation: Account for the optima in the phylogeny regime assuming the change in optima coincide with phylogenetic branch points
- $X(t)$ evolves by two components one deterministic(selection), other stochastic (mutation)

Ornstein-Uhlenbeck Model II

$$dX(t) = \alpha(\theta - X(t)) + \sigma dB(t)$$

α = Strength of selection

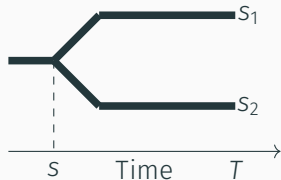
$\theta - X(t)$ = Distance from optimum value

σ = strength of random drift

$dB(t)$ = random white noise

Farther the TFBS from 'optimum' \implies higher the selection force

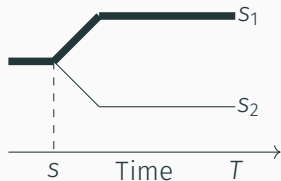
Ornstein-Uhlenbeck Model: Multivariate normal



s_1, s_2 - BM

$$E[X(t)] = \begin{pmatrix} \theta_0 \\ \theta_1 \end{pmatrix}$$

$$\Sigma = \sigma^2 \begin{pmatrix} T & s \\ s & T \end{pmatrix}$$



$$E[X_1(T)] = \theta_0 e^{-\alpha T} + \theta_1 (1 - e^{-\alpha T})$$

$$E[X_2(T)] = \theta_0 e^{-\alpha T} + \theta_1 e^{-\alpha(T-s)} (1 - e^{-\alpha s}) + \theta_2 (1 - e^{-\alpha(T-s)})$$

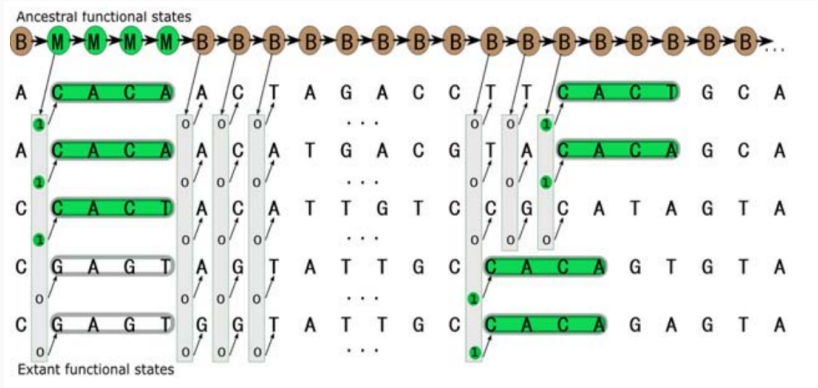
s_2 - new optimum
regime, s_1 - ancestral

Jukes Cantor

$$Q = \begin{pmatrix} -\frac{3\mu}{4} & \frac{\mu}{4} & \frac{\mu}{4} & \frac{\mu}{4} \\ \frac{\mu}{4} & -\frac{3\mu}{4} & \frac{\mu}{4} & \frac{\mu}{4} \\ \frac{\mu}{4} & \frac{\mu}{4} & -\frac{3\mu}{4} & \frac{\mu}{4} \\ \frac{\mu}{4} & \frac{\mu}{4} & \frac{\mu}{4} & -\frac{3\mu}{4} \end{pmatrix}$$

$$P = \begin{pmatrix} \frac{1}{4} + \frac{3}{4}e^{-t\mu} & \frac{1}{4} - \frac{3}{4}e^{-t\mu} & \frac{1}{4} - \frac{3}{4}e^{-t\mu} & \frac{1}{4} - \frac{3}{4}e^{-t\mu} \\ \frac{1}{4} - \frac{3}{4}e^{-t\mu} & \frac{1}{4} + \frac{3}{4}e^{-t\mu} & \frac{1}{4} - \frac{3}{4}e^{-t\mu} & \frac{1}{4} - \frac{3}{4}e^{-t\mu} \\ \frac{1}{4} - \frac{3}{4}e^{-t\mu} & \frac{1}{4} - \frac{3}{4}e^{-t\mu} & \frac{1}{4} + \frac{3}{4}e^{-t\mu} & \frac{1}{4} - \frac{3}{4}e^{-t\mu} \\ \frac{1}{4} - \frac{3}{4}e^{-t\mu} & \frac{1}{4} - \frac{3}{4}e^{-t\mu} & \frac{1}{4} - \frac{3}{4}e^{-t\mu} & \frac{1}{4} + \frac{3}{4}e^{-t\mu} \end{pmatrix}$$

Lineage Specific Evolution



Ancestor = background \Rightarrow evolution independent

Ancestor = motif \implies TFBS evolves as unit